Spire Investment Partners, LLC
Business Continuity Plan

Spire Investment Partners (Spire Securities and Spire Wealth Management) has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption ("SBD") you cannot contact us at 703-657-6060, you should call one of our branch offices (attached), or go to our web site at www.SpireIP.com where additional information will be provided.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the SBD.

Our business continuity plan addresses: data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our clearing firm, NFS, Schwab and Fidelity back up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firm and other broker/dealers that their objectives are to restore their own operations and be able to complete existing transactions and accept new transactions and payments within a reasonable period of time. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – SBDs can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within 24 hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within 24 to 48 hours. In either situation, we plan to continue in business, transfer operations to our clearing firm if necessary, and notify you through our web site, www.SpireIP.com, email or via a recorded message at 703-657-6060 on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at 703-657-6060 or www.SpireIP.com.

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1. Office Locations

- 6701 Democracy Blvd., #300, Bethesda, MD 20817
  Phone: 301-571-9447
- 101 N. Cascade Ave., #10, Col. Spgs., CO 80903
  Phone: 719-228-3630
- 302 Corporate Dr., E., Langhorne, PA 19047
  Phone: 215-550-6210
- 7918 Jones Branch Dr., #700, McLean, VA 22102
  Phone: 703-748-5800
- 12355 Sunrise Valley Dr., #305, Reston, VA 20191
  Phone: 703-657-6060
- 23B Shelter Cove Ln., #401, Hilton Head, SC 29928
  Phone: 843-686-2425
- 6507 Wilkins Ave., Ste 211, Pittsburgh, PA 15217
  Phone: 412-404-2591
- 675 Southpointe Ct., #100, Colorado Springs, CO 80906
  Phone: 719-538-5980
- 170 Westminster St., 9th Fl., Providence, RI 02903
  Phone: 401-455-3794
- 21800 Beallsville Rd., Barnesville, MD 20838
  Phone: 301-428-8191
- 274 Riverside Ave., Westport, CT 06880
  Phone: 203-557-4930