



**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**SPR**  
**SPIRE SECURITIES, LLC**  
**12355 SUNRISE VALLEY DR STE 305**  
**RESTON, VA 20191**

**SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2010**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	65.10%
Limit orders as percentage of total non-directed orders	11.98%
Other orders as percentage of total non-directed orders	22.92%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	33.85%
2. UBS Securities, L.L.C.	20.57%
3. National Financial Services, L.L.C.	19.01%
4. Citadel Derivatives Group LLC	14.58%
5. Citigroup Global Markets, Inc.	5.47%
6. Direct Edge ECN L.L.C.	1.82%
7. Goldman, Sachs & Co.	1.56%
8. NYSE Arca	1.04%
9. Surge Trading, Inc.	0.78%
10. BATS Exchange, Inc.	0.52%

**Information Concerning Significant Venues :**

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.20%
Limit orders as percentage of total limit orders	17.39%
Other orders as percentage of total other orders	44.32%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.60%
Limit orders as percentage of total limit orders	6.52%
Other orders as percentage of total other orders	25.00%

## 3. National Financial Services, L.L.C.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.80%
Limit orders as percentage of total limit orders	23.91%
Other orders as percentage of total other orders	0.00%

## 4. Citadel Derivatives Group LLC

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.40%
Limit orders as percentage of total limit orders	15.22%
Other orders as percentage of total other orders	20.45%

## 5. Citigroup Global Markets, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.80%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	10.23%

## 6. Direct Edge ECN L.L.C.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.22%
Other orders as percentage of total other orders	0.00%

## 7. Goldman, Sachs &amp; Co.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.80%
Limit orders as percentage of total limit orders	8.70%
Other orders as percentage of total other orders	0.00%

## 8. NYSE Arca

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.70%
Other orders as percentage of total other orders	0.00%

## 9. Surge Trading, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## 10. BATS Exchange, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%



### Securities Listed on The Nasdaq Stock Market

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	57.92%
Limit orders as percentage of total non-directed orders	24.04%
Other orders as percentage of total non-directed orders	18.03%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, L.L.C.	32.79%
2. Knight Equity Markets, L.P.	21.86%
3. National Financial Services, L.L.C.	16.39%
4. Citadel Derivatives Group LLC	12.02%
5. Citigroup Global Markets, Inc.	10.93%
6. BATS Exchange, Inc.	2.73%
7. Direct Edge ECN L.L.C.	2.73%
8. NYSE Arca	0.55%

## Information Concerning Significant Venues :

## 1. UBS Securities, L.L.C.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.13%
Limit orders as percentage of total limit orders	22.73%
Other orders as percentage of total other orders	51.52%

## 2. Knight Equity Markets, L.P.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.81%
Limit orders as percentage of total limit orders	27.27%
Other orders as percentage of total other orders	21.21%

## 3. National Financial Services, L.L.C.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.87%
Limit orders as percentage of total limit orders	22.73%
Other orders as percentage of total other orders	0.00%

## 4. Citadel Derivatives Group LLC

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.98%
Limit orders as percentage of total limit orders	2.27%
Other orders as percentage of total other orders	9.09%

## 5. Citigroup Global Markets, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.21%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	18.18%



## 6. BATS Exchange, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.36%
Other orders as percentage of total other orders	0.00%

## 7. Direct Edge ECN L.L.C.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.36%
Other orders as percentage of total other orders	0.00%

## 8. NYSE Arca

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.27%
Other orders as percentage of total other orders	0.00%



### Securities Listed on NYSE Amex or Regional Exchanges

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	66.67%
Limit orders as percentage of total non-directed orders	18.82%
Other orders as percentage of total non-directed orders	14.52%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Derivatives Group LLC	30.11%
2. Knight Capital Markets, L.L.C.	23.12%
3. UBS Securities, L.L.C.	18.82%
4. National Financial Services, L.L.C.	14.52%
5. Direct Edge ECN L.L.C.	6.45%
6. Citigroup Global Markets, Inc.	3.76%
7. BATS Exchange, Inc.	2.69%
8. Goldman, Sachs & Co.	0.54%

## Information Concerning Significant Venues :

1. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	38.71%
Limit orders as percentage of total limit orders	2.86%
Other orders as percentage of total other orders	25.93%
2. Knight Capital Markets, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	25.81%
Limit orders as percentage of total limit orders	17.14%
Other orders as percentage of total other orders	18.52%
3. UBS Securities, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	18.55%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	44.44%
4. National Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	13.71%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	0.00%
5. Direct Edge ECN L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	34.29%
Other orders as percentage of total other orders	0.00%



## 6. Citigroup Global Markets, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	11.11%

## 7. BATS Exchange, Inc.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.29%
Other orders as percentage of total other orders	0.00%

## 8. Goldman, Sachs &amp; Co.

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.86%
Other orders as percentage of total other orders	0.00%



### Exchange - Listed Options

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	100.00%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NYSE Arca Options	45.00%
2. NASDAQ OMX PHLX	25.00%
3. The Chicago Board Options Stock Exchange	20.00%
4. The International Securities Exchange	10.00%

## Information Concerning Significant Venues :

## 1. NYSE Arca Options

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	45.00%
Other orders as percentage of total other orders	0.00%

## 2. NASDAQ OMX PHLX

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	0.00%

## 3. The Chicago Board Options Stock Exchange

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	0.00%

## 4. The International Securities Exchange

## Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.00%
Other orders as percentage of total other orders	0.00%